

In re Application of:  
 ALLAN F. WILLIS ET AL.  
 Serial No.: 09/741,730



Attention: Application Branch

Date: SEPTEMBER 4, 2001

Attorney Docket No.: KCX-332 (15927)

Art Unit: 3763

Filed: DECEMBER 19, 2000

Confirmation No.: 5590

Our Account No.: 04-1403

Title: AN IMPROVED SEALING VALVE ASSEMBLY  
 FOR MEDICAL PRODUCTS

Commissioner for Patents  
 U.S. Patent and Trademark Office  
 Washington, DC 20231

Sir:

The following completes the filing of the above-identified patent application under Rule 52, 53, and/or 85:

- ☒ Rule 53 signed Declaration enclosed.  
☐ This is a Rule 47 filing per attached Petition.  
☐ Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date.  
☐ Specification originally filed in non-English language; hence verified translation attached of:  
☐ Abstract ☐ pages of Specification (only spec. & claims) ☐ Drawing Figs.  
☐ sheet(s) of formal drawings enclosed: size: ☐ A4 ☐ 14" ☐ 8 1/2 by 11  
☒ Attached is an assignment to KIMBERLY-CLARK WORLDWIDE, INC.  
☐ Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application(s) no(s).  
 \_\_\_\_\_, filed in \_\_\_\_\_ on \_\_\_\_\_, respectively.  
 Certified copy (copies) ☐ attached; ☐ already filed on \_\_\_\_\_ in U.S. Application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_  
☐ Attached: \_\_\_\_\_ Verified Statement(s) establishing "small entity" status under Rules 9 & 27.  
☒ Attached is the request return copy of the PTO Notice under Rule 52, 53, and/or 85.  
☐ Attached: \_\_\_\_\_  
☐ Preliminary Amendment: \_\_\_\_\_

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED  
 PER MPEP § 506 AND § 607**

If not earlier paid, add Basic Filing Fee	\$ 710.00
Total Effective Claims <u>27</u> - 20 = <u>7</u> x \$18.00 =	\$ 126.00
Independent Claims <u>8</u> - 3 = <u>5</u> x \$80.00 =	\$ 400.00
If <u>any proper</u> multiple dependent claim (ignore improper) is present, add \$270.00	\$
Filing Fee	\$ 1236.00
Since PTO Notice under Rule 52, 53, and/or 85 set an <u>original</u> due date of <u>5/5/01</u> , petition is hereby made for an extension to cover the date this response is filed for which the requisite fee is enclosed (1 month \$110; 2 months \$390; 3 months \$890; 4 months \$1390).	\$ 1390.00
If this is the <u>first</u> time either signed Declaration filed or filing fee paid, add Surcharge (\$130)	\$ 130.00
Subtotal	\$ 2756.00
If "small entity" status box above is X'd, enter half (1/2) of subtotal & <u>subtract</u>	\$
Total =	\$ 2756.00
If "non-English" box above is X'd, add Rule 17(i) or (k) processing fee \$130.00)	\$
If "assignment" box is X'd, add recording fee (\$40.00).	\$ 40.00
If "Rule 47" box above is X-d, add Petition fee \$130.00 Rule 17(h).	\$
<b>TOTAL FEE ENCLOSED</b>	<b>\$ 2796.00</b>

The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

ADDRESS:  
 Post Office Box 1449  
 Greenville, South Carolina 29602  
 Phone: 864-271-1592  
 Facsimile: 864-233-7342

DORITY & MANNING  
 ATTORNEYS AT LAW, P.A.  
 By Atty: STEPHEN E. BONDURA Reg. No. 35,070

Signature: [Signature]

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Washington, DC 20231, on SEPTEMBER 4, 2001

JACQUELINE M. LEONARD

Typed or printed name of person mailing paper or fee)

[Signature]  
 Signature of person mailing paper or fee)

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COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/741,730	12/19/2000	Allan F. Willis	KCX-332 (15927)

CONFIRMATION NO. 5590

## FORMALITIES LETTER



\*OC000000005827512\*

STEPHEN E. BONDURA, ESQ.  
DORITY & MANNING, P.A.  
P.O. BOX 1449  
GREENVILLE, SC 29602-1449

Date Mailed: 03/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$526.
  - \$126 for 7 total claims over 20.
  - \$400 for 5 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1366.

09/07/2001 MAHMED1 00000103 09741730

01 FC:101  
02 FC:102  
03 FC:103  
04 FC:105

710.00 OP  
400.00 OP  
126.00 OP  
130.00 OP

Copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**RECEIVED**  
MAR 12 2001

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